

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of :

Sally Pucilowski

Serial No. : 10/036,486 /

Filed : 7 January 2002

For : INTERFERENCE FILTER HAVING A GLASS SUBSTRATE

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97**

Assistant Commissioner for Patents  
Washington, D. C. 20231

Sir:

**CITED MATERIALS**

- ☒ Applicant(s) wish to disclose the information, listed in the attached form PTO-1449, copies of which are attached.
- ☐ Copies of materials listed but not attached were cited in parent application Serial No. \_\_\_\_\_.
- ☐ Copies of materials listed but not attached were cited in an International Search Report dated \_\_\_\_\_; receipt of any International Search Report and copies of references were confirmed by the PCT International Division of the U.S. PTO in the Notice of Acceptance mailed \_\_\_\_\_.
- ☐ Copies of materials listed and attached were cited in a communication from a foreign patent office in a counterpart foreign application.
- ☐ Also included are the pending U.S. applications listed below, copies of which are attached:

**FEES**

- ☒ No fee is required for this Information Disclosure Statement because:
- ☐ This Information Disclosure Statement is being filed within three months of the filing date of a national application (other than a CPA) under § 1.53(d) or within 3 months of the date of entry of the national stage under § 1.491 in an international application;
- ☒ This Information Disclosure Statement is being filed before the mailing of a first action on the merits;
- ☐ This Information Disclosure Statement is being filed before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that closes prosecution in the application, and is accompanied by a certification below.

- ☐ A check is attached: This Information Disclosure Statement is being filed after a first action on the merits but before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311 or an action that closes prosecution, and is accompanied by the fee set forth in §1.17(p).
- ☐ A check is attached: This Information Disclosure Statement is being filed after the mailing date of a notice of allowance under § 1.311 but before the payment of the issue fee and is accompanied by the fee set forth in § 1.17(p) and a certification below.

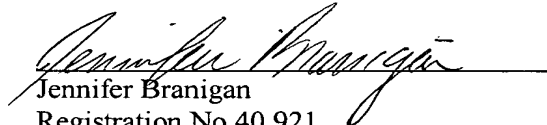
**CERTIFICATION**

- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c), more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

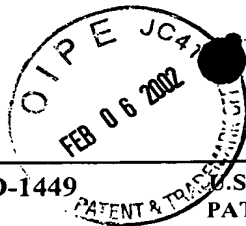
If appropriate, attached is a check in the amount of \$\_\_\_\_\_. However, the Commissioner is hereby authorized to charge fees under 37 CFR 1.16 and 1.17 which may be required to facilitate this filing, or credit any overpayment to Deposit Account #13-3402, two copies of this paper are attached for this purpose.

Respectfully submitted,

  
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Date: **6 February 2002**  
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<b>Form PTO-1449</b> <b>U.S. DEPARTMENT OF COMMERCE</b> <b>PATENT AND TRADEMARK OFFICE</b>  <b>INFORMATION DISCLOSURE STATEMENT</b> <b>BY APPLICANT</b>  (Use several sheets if necessary)	<b>ATTY. DKT. NO.</b> Sgt- 44	<b>SERIAL NO.</b> 10/036,486
	<b>APPLICANT</b> Sally Pucilowski et al.	
	<b>FILING DATE</b> 7 January 2002	<b>GROUP</b>

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date
	AA					
	AB					
	AC					
	AD					
	AE					
	AF					
	AG					
	AH					

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No
	AM						
	AN						
	AO						
	AP						
	AQ						
	AR						
	AS						
	AT						

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

	AU	ABSTRACT ONLY:Parfenov AI, <i>Vestn. Leningr. Gos. Univ., Fiz. Khim</i> , 1963, vol.4, No. 1, P. 126
	AV	ABSTRACT ONLY:Bacon JF., <i>NASA Contract Rep.</i> 1856, 1971
	AW	ABSTRACT ONLY:Alexsandrov VI., <i>Fizika i Khimiya Stekla</i> , 1997, vol. 3, No. 2, p.
	AX	Angel PW., <i>Journal of American Chemical Society.</i> , 75 (12) :3278-3282
	AY	
	AZ	
<b>Examiner</b>		<b>Date Considered</b>